PTO/SB/17 (10-07

Approved for use through 06/30/2010. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. 10/521,569-Conf. #4202 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** January 18, 2005 FEE TRANSMITTAL Filing Date Hideo SAKATA First Named Inventor For FY 2008 **Examiner Name** G. C. Vizvary Applicant claims small entity status. See 37 CFR 1.27 3611 Art Unit 1592-0152PUS1 TOTAL AMOUNT OF PAYMENT 210.00 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Credit Card None Other (please identify): Check Money Order Deposit Account Name: Birch, Stewart, Kolasch & Birch, 02-2448 Deposit Account Deposit Account Number: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of x Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity** Small Entity **Small Entity Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 310 510 255 210 105 155 Design 210 105 100 50 130 65 Plant 210 105 310 155 160 80 Reissue 310 155 510 255 620 310 Provisional 210 105 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 105 210 Multiple dependent claims 370 185 **Total Claims** Extra Claims Fee Paid (\$) **Multiple Dependent Claims** Fee Paid (\$) - 20 = Fee (\$) HP = highest number of total claims paid for, if greater than 20. Fee Paid (\$) Extra Claims Fee (\$) 1 × 210.00 = 210.00 -3= HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = /50 = (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): SUBMITTED BY Signature 32,181 Telephone (703) 205-8000 (Attorney/Agent) Marc S. Weiner Name (Print/Type) Date October 16, 2007



Docket No.: 1592-0152PUS1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hideo SAKATA et al.

Application No.: 10/521,569

Confirmation No.: 4202

Filed: January 18, 2005

Art Unit: 3609

For: STOCK TRADING SUPPORT APPARATUS

Examiner: G. C. Vizvary

AND STOCK TRADING SUPPORT SYSTEM

## **AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INTRODUCTORY COMMENTS**

In response to the Office Action dated July 16, 2007, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

10/17/2007 JADDO1

00000085 022448

10521569

01 FC:1201

210.00 DA